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**U.S. Patent Appln. Serial No. 09/328,856**  
**Group Art Unit: 2166**  
**Supplemental Amendment & Response**

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PATENT  
18680-250518

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: ) Art Unit: 2166  
PHILIP T. DAVIS, ET AL. ) Examiner: S. RIMELL  
Serial No.: 09/328,856 )  
Filed: June 9, 1999 )  
For: METHOD AND SYSTEM FOR )  
PROVIDING INSURANCE PROTECTION )  
AGAINST LOSS OF RETIREMENT )  
ACCUMULATIONS IN A TAX FAVORED )  
DEFINED CONTRIBUTION PLAN IN THE )  
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**SUPPLEMENTAL AMENDMENT AND RESPONSE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Further to the Applicants' Response to Office Communication dated November 29, 2001, and as requested by the Examiner in a telephonic interview conducted between the Examiner and the Applicants' patent counsel on December 5, 2001, the Applicants hereby submit the instant Supplemental Amendment and Response and

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